

**Longview-Kelso-Rainier
Metropolitan Planning Organization**

2016 Obligation and Closure Report

March 31, 2017

Prepared by the Cowlitz-Wahkiakum Council of Governments



OBLIGATION AND CLOSURE REPORT

CWCOG's Annual Listing of Obligated and Closed Projects for 2016

Introduction

The 2016 Obligation and Closure Report for the Cowlitz-Wahkiakum Council of Governments (CWCOG) details the projects that obligated federal transportation funds in the Metropolitan Planning Organization (MPO) area and Cowlitz County in 2016.

The purpose of this report is to demonstrate continued coordination between the agencies responsible for implementing projects programmed in the Regional Transportation Improvement Program (RTIP). Those agencies include CWCOG, RiverCities Transit, Cowlitz County, City of Longview, City of Kelso, City of Kalama, City of Castle Rock, City of Woodland, and the Washington State Department of Transportation - Southwest Region (WSDOT - SW Region). In addition, the report serves as an evaluation tool for projects programmed in the RTIP's preceding program year. By compiling a list of projects that obligated funds in 2016 and projects that closed, CWCOG is better equipped to monitor the region's overall success at constructing and completing projects.

Cowlitz-Wahkiakum Council of Governments

CWCOG is a regional planning body comprised of local governmental jurisdictions and agencies within Cowlitz and Wahkiakum counties. Non-voting and ex-officio members from both the public and private sectors also actively participate in activities of the agency. The CWCOG is the Longview-Kelso-Rainier, Oregon MPO and the lead planning agency for the Southwest Washington Regional Transportation Planning Organization (RTPO). The MPO is federally mandated and authorized to fulfill federal planning requirements for the Longview-Kelso-Rainier, Oregon urbanized area. The RTPO is voluntary, locally developed, and fulfills state planning requirements for the five-county region (Cowlitz, Grays Harbor, Lewis, Pacific, and Wahkiakum).

The planning area overseen by the Longview-Kelso-Rainier MPO is illustrated in Figure 1.

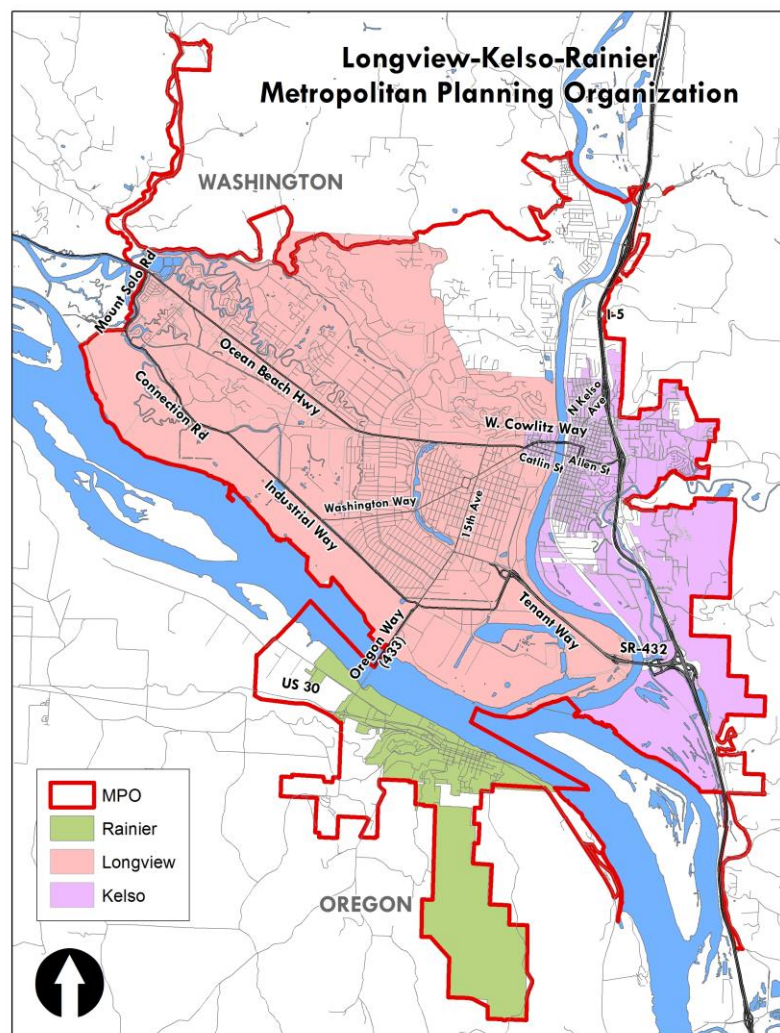


Figure 1. Longview-Kelso-Rainier Metropolitan Planning Area

OBLIGATION AND CLOSURE REPORT

CWCOG's Annual Listing of Obligated and Closed Projects for 2016

As the Longview-Kelso-Rainier MPO, CWCOG is tasked with carrying out the rules and regulations set forth in federal transportation legislation and for transportation policy development, planning, and programming for the region. However, since some federal-aid funds (i.e. STP) are allocated to CWCOG to advance local transportation priorities within Cowlitz County, which encompasses a planning area greater than the MPO area, CWCOG must ensure that all federal-aid transportation rules and regulations are followed by all jurisdictions within and outside the MPO planning area in Cowlitz County.

Annual Listing of Obligated and Closed Projects

The Longview-Kelso-Rainier MPO is required under the Moving Ahead for Progress in the 21st Century (MAP-21) and the Fixing America's Surface Transportation Act (FAST) to publish an annual listing of projects that obligated federal funds within the MPO planning area during 2016. This listing of projects is in response to requirements set forth in Chapter 23 U.S.C. § 450.3332 which states, *"an annual listing of obligated projects, including investments in pedestrian walkways, and bicycle transportation facilities, for which federal funds have been obligated in the preceding year shall be published or otherwise made available by the cooperative effort of the State, transit operator, and metropolitan planning organization for public review. The listing shall be consistent with the funding categories identified in each metropolitan transportation improvement program."*

The 2017-2020 Regional Transportation Improvement Program (RTIP) was approved by the CWCOG Board September 22, 2016. The RTIP is a four-year, fiscally constrained prioritized program of transportation projects, compiled from local transportation programs. The RTIP is consistent with the Regional

Transportation Plan. Once a project has been programmed into an approved RTIP, the project's sponsor(s) can begin obligation

of the project by accessing funds to start preliminary engineering, right-of-way acquisition, or construction.

Obligation

In the context of this report, obligation is the legal commitment to pay the Federal share of a project's cost. An obligated project is one that has been authorized by a Federal agency as meeting eligibility requirements for federal funds. Projects for which funds have been obligated are not necessarily initiated or completed in the program year. For obligations on a construction project, typically the project needs to complete a competitive bid process and begin construction if an acceptable bid is received. The amount of the obligation usually does not equal the total cost of the project. An obligation may be for only one phase of a multi-phased project, and the obligation amount listed does not account for local funding spent on a project.

For Federal Transit Administration (FTA) projects, obligation occurs when the FTA grant is awarded. For Federal Highway Administration (FHWA) projects, obligation occurs when a project agreement between WSDOT Local Programs Division and the local agency is executed and the recipient agency requests that the funds be obligated. A project agreement defines specific project elements that are eligible for funding, i.e. how financing will occur; and agency roles, responsibilities, and liabilities. Once funds have been obligated, the eligible recipient begins incurring eligible project expenses and then requests reimbursement from WSDOT. The reimbursement request must demonstrate that the recipient incurred the costs consistent with the project agreement and all federal rules.

The amount of the federal obligation usually does not equal the total estimated cost of the project (which includes total funding

OBLIGATION AND CLOSURE REPORT

CWCOG's Annual Listing of Obligated and Closed Projects for 2016

from federal, state, and local sources for all phases of the project).

Table 1 lists projects from the City of Longview, the City of Kelso, the City of Kalama, Cowlitz County, CWCOG, and WSDOT – SW Region. These projects were listed in the 2015-2018 RTIP and 2016-2019 RTIP. Projects within the RTIP that did not have funds obligated during 2016 are not included in this report. To meet federal reporting requirements, the information provided on Table 1 includes the total amount that was programmed for the project in the 2015-2018 RTIP and 2016-2019 RTIP, the amount of funds that were obligated in 2016, and the amount of federal funds remaining on the project for future obligations.

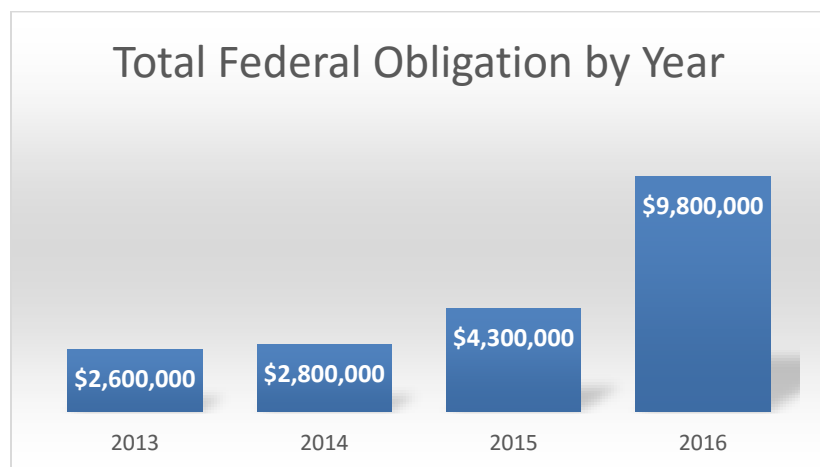


Figure 2. Total Federal Obligation by Year

In 2016, \$9.8 million in federal funds were obligated for projects in the Longview-Kelso-Rainier MPO and Cowlitz County area. For comparison, the total obligation in 2015 was \$4.3 million and in 2014 was \$2.8 million. The significant increase in obligations in 2016 is largely the result of recent efforts at the federal, state, and regional levels to improve upon project delivery.

De-obligation

An agency may request that funds be de-obligated from a project if the agency has decided not to proceed with that project, if the agency has completed the project without using all the obligated funds, or if funds are moved between phases within a single project. Funds may also be de-obligated if a project that was previously obligated moves from one funding source to another. There were no de-obligations in the Longview-Kelso-Rainier MPO during 2016.

Closure

The final stage of project delivery is the project close-out process. After a project has been completed, the close-out process wraps up any final reimbursements and officially closes the project. In 2016, five projects closed in the Longview-Kelso-Rainier MPO (Table 2). The total amount of federal funds expended prior to close out for this project was \$3.8 million.

Federal and State Funding Categories

The following list of funding types is listed as acronyms in Table 1 – 2016 Obligated Projects and Table 2 – 2016 Closed Projects.

HSIP	Highway Safety Improvement Program
NHPP	National Highway Performance Program
Scenic Byways	National Scenic Byways Program
STP	Surface Transportation Program
STP (DEMO)	Surface Transportation Program - Demonstration
STP (R)	Surface Transportation Program - Rural
STP (US)	Surface Transportation Program - Urban Small Areas
STP (BR)	Surface Transportation Program - Bridge

More information regarding FHWA funding sources can be found at: www.fhwa.dot.gov/federalaid/projects.cfm

OBLIGATION AND CLOSURE REPORT

CWCOG's Annual Listing of Obligated and Closed Projects for 2016

Acronyms

CN	Construction	PE	Preliminary Engineering
COG	Council of Governments	PL	Planning
FHWA	Federal Highway Administration	RTPO	Regional Transportation Planning Organization
FTA	Federal Transit Administration	RW	Right of Way
MPO	Metropolitan Planning Organization	RTIP	Regional Transportation Improvement Program
		WSDOT	Washington State Department of Transportation

Table 1. 2016 Obligated Projects

Agency	Fund Type	Project Name	STIP ID	Phase	Federal \$ Programmed in RTIP	Federal \$ Obligated in 2016 ¹	Approved
Kalama	STP (R)	City Center Pavement Preservation	WA-0738	PE	\$31,572	\$12,456	5/5/16
Kalama	STP (R)	City Center Pavement Preservation	WA-0738	CN	\$343,428	\$362,544	7/11/16
Kelso	STP (US)	West Main Street Revitalization	581405	CN	\$300,000	\$300,000	4/15/16
Kelso	STP (US)	West Main Street Revitalization	581405	CN	\$500,000	\$500,000	8/19/16
Longview	HSIP	Citywide Safety Improvement	WA-04196	PE	\$20,000	\$250	10/27/16
Longview	HSIP	Citywide Safety Improvement	WA-04196	CN	\$255,000	-\$250	10/27/16
Cowlitz County	HSIP	South Pekin Road Guardrail	WA - 07480	PE	\$18,000	-\$2,250	9/23/16
Cowlitz County	HSIP	South Pekin Road Guardrail	WA - 07480	CN	\$100,000	\$102,250	9/23/16
Cowlitz County	HSIP	Install/Upgrade Curve Warning Signs	WA-07481	CN	\$100,000	\$100,000	9/23/16
Cowlitz County	HSIP	Willow Grove Road Guardrail	WA-05292	PE	\$18,000	-\$1,800	9/23/16
Cowlitz County	HSIP	Willow Grove Road Guardrail	WA-05292	CN	\$550,000	\$551,800	9/23/16
Cowlitz County	HSIP	South Cloverdale Road/Martins Bluff Road Intersection	WA-03113	CN	\$75,000	\$75,000	8/9/16
Cowlitz County	STP (US)	SR 432/SR 433 Grade Separated Intersection Improvements	WA-07321	PE	\$350,000	\$350,000	9/16/16
Cowlitz County	STP (BR)	Tower Road Bridge Scour Repair	681-09(3)	PE	\$5,000	-\$4,000	5/19/16
Cowlitz County	STP (BR)	Tower Road Bridge Scour Repair	681-09(3)	CN	\$558,000	\$566,965	5/19/16
WSDOT - SW	NHPP	SR 503/Yale Bridge - Paving	450316P	CN	\$225,400	\$52,800	10/19/15
WSDOT - SW	NHPP	SR 503/Yale Bridge - Paving	450316P	CN		\$105,256	4/5/16
WSDOT - SW	NHPP	SR 503/Yale Bridge - Paving	450316P	CN		-\$22,551.50	6/9/16
WSDOT - SW	STP (DEMO)	SR 432/I-5 at Old Hwy 99 - I/S Improvements	443215B	CN	\$500,000	439,555.20	1/8/16

¹ Negative numbers in this column are not de-obligations, but expenditures by the local agency.

OBLIGATION AND CLOSURE REPORT

CWCOG's Annual Listing of Obligated and Closed Projects for 2016

Table 1. 2016 Obligated Projects							
Agency	Fund Type	Project Name	STIP ID	Phase	Federal \$ Programmed in RTIP	Federal \$ Obligated in 2016 ²	Approved
WSDOT - SW	NHPP	SR 432/SR 4 to Industrial Way Vic - Paving	443216P	PE	\$109,824	\$6,356	4/13/16
WSDOT - SW	NHPP	SR 432/SR 4 to Industrial Way Vic - Paving	443216P	CN	\$2,672,852	\$2,081,820	4/13/16
WSDOT - SW	NHPP	SR 432/SR 4 to Industrial Way Vic - Paving	443216P	CN		\$220,402	6/9/16
WSDOT - SW	STP	SR 432/SR 4 to Industrial Way Vic - Paving	443216P	CN		\$1,772,242	4/13/16
WSDOT - SW	STP	SR 432/SR 4 to Industrial Way Vic - Paving	443216P	CN		\$88,580	6/9/16
WSDOT - SW	NHPP	SR 432 / Kelso - Longview Area - Replace Lighting Circuits	400017I	CN	\$497,212	\$398,607	4/14/16
WSDOT - SW	NHPP	SR 432 / Kelso - Longview Area - Replace Lighting Circuits	400017I	CN		-\$40,302	6/14/16
WSDOT - SW	STP	SR 504/Kid Valley Rd. VIC TO Johnston Ridge, with exceptions - Chip Seal	450410A	CN	\$3,808,476	\$1,569,984	4/5/16
WSDOT - SW	STP	SR 504/Kid Valley Rd. VIC TO Johnston Ridge, with exceptions - Chip Seal	450410A	CN		-\$112,472	5/26/16
WSDOT - SW	STP	SR 504 / Wooster Creek - Culvert Replacement Chronic Env. Deficiency	450416C	PE	\$179,539	\$134,985	6/17/16
WSDOT - SW	HSIP	SWR Regionwide Safety - Shoulder Rumble Strips Phase 1	400016R	CN	\$338,100	\$4,954.67	5/19/16
WSDOT - SW	HSIP	SWR Regionwide Safety - Shoulder Rumble Strips Phase 1	400016R	CN		\$87,301.67	3/18/16
WSDOT - SW	STP	SR 411/First Ave. SW to I-5 - Paving	441116P	PE	\$116,371	\$20,000	5/25/16
WSDOT - SW	HSIP	SR 503, 503 Spur, 504, and 505 - Centerline Rumble Strips - Safety	400014S	PE	\$34,869	-\$1,134.90	8/9/16
WSDOT - SW	HSIP	SR 432/Tennant Way RR XING - Bus and Truck Pullout Lanes	443218R	PE	\$153,000	\$18,950.32	9/16/16
WSDOT - SW	HSIP	SR 432/Tennant Way RR XING - Bus and Truck Pullout Lanes	443218R	PE		\$6,458.06	9/16/16
WSDOT - SW	HSIP	SR 432/Tennant Way RR XING - Bus and Truck Pullout Lanes	443218R	PE		\$128,191.62	9/16/16
WSDOT - SW	STP	Southwest Region Low Cost Pavement Repair - Roadway Preservation	400014D	CN	\$73,500	-\$34.85	10/13/16
TOTAL						\$9,872,913	

² Negative numbers in this column are not de-obligations, but expenditures by the local agency

OBLIGATION AND CLOSURE REPORT

CWCOG's Annual Listing of Obligated and Closed Projects for 2016

TABLE 2. 2016 CLOSED PROJECTS							
Agency	Fund Type	Project Name	STIP ID	Phase	Federal \$ Programmed in RTIP	Federal \$ Obligated in 2016	Closed
WSDOT - SW	STP (DEMO)	SR 432/I-5 at Old Hwy 99 - I/S Improvements	443215B	CN	\$500,000	\$439,555.20	1/8/16
CWCOG	Scenic Byway	Fire and Ice Scenic Loop CMP	CWCOG3	PL		\$196,414.08	5/17/16
Cowlitz County	STP (R), HSPI	Hazel Dell Rd I/S Improvements	614-05(3)	CN	\$2,395,000	\$200,000	10/20/16
Cowlitz County	STP (R), HSPI	Hazel Dell Rd I/S Improvements	614-05(3)	CN		\$2,075,000	10/20/16
Cowlitz County	STP (R), HSPI	Hazel Dell Rd I/S Improvements	614-05(3)	PE	\$30,000	\$20,000	10/20/16
Longview	STP (US)	Downtown Corridor Improvement	WA-06834	CN	\$600,000	\$600,000	10/17/16
Longview	HSIP	Citywide Safety Improvement	WA-04196	PE	\$255,000	\$44,749.10	11/3/16
Longview	HSIP	Citywide Safety Improvement	WA-04196	CN		\$255,250.90	11/3/16
TOTAL						\$3,830,969.28	